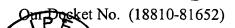
**PATENT** 



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Henry C. Lin and Mark Pimentel

09/837,797 April 17, 2001

Title:

METHODS OF DIAGNOSING AND TREATING SMALL INTESTINAL

BACTERIAL OVERGROWTH (SIBO) AND SIBO-RELATED

**CONDITIONS** 

# TRANSMITTAL OF AND RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILING DATE GRANTED

BOX MISSING PARTS Assistant Commissioner for Patents Washington, D. C. 20231 I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO BOX MISSING PARTS, THE ASSISTANT COMMISSIONER FOR
PATENTS WASHINGTON, D. C. 20231, ON August 6, 2001

BY

ANN WEISS

August 6, 2001

Date of Signature

## Dear Sir or Madam:

In response to the Notice to File Missing Parts of Nonprovisional Application
Filing Date Granted mailed June 6, 2001, Applicants submit the following documents:

- 1. Copy of Notice to File Missing Parts of Application (Part 2); and
- 2. Fully executed Declarations of inventors Henry C. Lin and Mark Pimentel;

The Commissioner is hereby authorized to charge \$65.00 to Deposit Account No. 50-1597, under 37 C.F.R.§1.27and to charge any additional fees due or to credit any overpayments under C.F.R. §§ 16(e) and 1.17 which may be required.

## Also enclosed are:

- 3. Assignment with Transmittal authorizing Commissioner to charge to Deposit Account No. 50-1597 \$40.00 for recordation of assignment under C.F.R. 1.21(h).
  - 4. Power of Attorney;
  - 5. Certificate Under 37 C.F.R. 3.73(b) Establishing Right of Assignee to Prosecute;

and

6. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(d))--Nonprofit Organization.

Respectfully submitted,

Nisan A. Steinberg, Ph.D.

Registration No. 40,345

555 West Fifth Street

Los Angeles, California 90013-1010

Ofc: 213/896-6665 Fax: 213/896-6600







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/837,797

SIDLEY & AUSTIN

04/17/2001

Henry C. Lin

18810-81652

**CONFIRMATION NO. 9913** 

**FORMALITIES LETTER** 

OC000000006155304\*

555 West Fifth Street Los Angeles, CA 90071-2909

Date Mailed: 06/06/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/13/2001 SMINASS1 00000095 501597 09837797

01 FC:205

65.00 CH

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

· · · · · · · · · · · · · · · · · · ·						
CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No.			
Applicant(s): LIN ET A	L. AUG 1 0 2001		18810-81652			
Serial No.	Filing Date	Examiner	Group Art Unit			
09/837,797	April 17, 2001	UNASSIGNED				
Invention:						
METHODS OF DIAGNOSING AND TREATING SMALL INTESTINAL BACTERIAL OVERGROWTH (SIBO)						
	AND SIBO-RELAT	ED CONDITIONS				
1						
hereby certify that this Verified Statement Claiming Small Entity Status						
(Identify type of correspondence)						
is being deposited wit	th the United States Postal Serv	vice as first class mail in an envel	ope addressed to: The			
Assistant Commissioner for Patents, Washington, D.C. 20231 on August 6, 2001						
(Date)						
		•				
	Ann Weiss (Typed or Printed Name of Person Mailing Correspondence)					
(Signature of Person Mailing Correspondence)						
(Signature of erson Mataing Correspondence)						
	Note: Each paper must ha	ve its own certificate of mailing.				

			6	ر ۵۶			
', '			0590	COLUMN			
CERTIFICATE OF MAILI	NG BY FIRST CLAS	S MAIL (37 CFR 1.8)	Docket No	J. /			
Ápplicant(s): Lin et al. AUS 10	(/)	S WILL (57 CIR 1.0)	18810-8165				
Applicant(s). Linetal. Aug 1 U	ZUUI ®		10010-0103				
Serial No.	Foling Date	Examiner	Group A	rt Unit			
09/909,317	MARRIA 17, 2001	Unassigned	<u> </u>				
09/909,317	April 17, 2001	Onabbighea					
Invention:							
Methods of Diagnosing and	Freating Small Intestinal	Racterial Overgrowth (SIBO)	and				
		Ducterial Overgrowth (ODDO)					
SIBO-related Conditions							
	•						
I hereby certify that this Assignment							
		(Identify type of correspondence)					
is being deposited with the U	nited States Postal Ser	vice as first class mail in an	envelope addressed	to: The			
46.2001							
			st 6, 2001				
		(-	· ·········				
Ann Weiss (Typed or Printed Name of Person Mailing Correspondence)							
•		(Typea or Frincea Name of Ferso	n Maunig Correspondence)				
,			<u> </u>				
		(Signature of Person Mail	ing Correspondence)				
				•			
N. A. D. A. C.							
Note: Each paper must have its own certificate of mailing.							